

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
JAMES A. NICKELS

PETITION FOR ORDER

Respondent.

File No. S-05122(LX)

The staffs of the Bureau of Licensing & Compliance and the Bureau of Registration & Enforcement, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats., and as a result thereof allege as follows:

1. James A. Nickels ("Nickels") is a licensed securities agent (CRD #347827) with a business address at 940 Maritime Dr, Suite 5 Manitowoc, WI 54220;
2. On December 27, 2006, the Division issued a Consent Order of Suspension against Nickels based on allegations contained in the staff's Petition for Order;
3. Based on information acquired by the staff after the issuance of that December 27, 2006 Order, the staff believes that Nickels suspension should begin on October 1, 2007 rather than July 1, 2007.

THEREFORE, the staffs of the Bureau of Licensing & Compliance and Registration & Enforcement petition the Administrator of the Division of Securities for the issuance of the attached Suspension Order.

DATED this 20th day of June, 2007



Helen E. Kluever
Examiner
Bureau of Licensing & Compliance



David A. Cohen
Supervising Attorney
Bureau of Registration & Enforcement