

BEFORE THE  
STATE OF WISCONSIN  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
DIVISION OF SECURITIES

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In the Matter of  
DAVID S. MESUNAS

PETITION FOR ORDER

Respondent.

File No. S-06164(LX)

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The staffs of the Bureau of Licensing & Compliance and the Bureau of Registration & Enforcement, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats., and as a result thereof allege as follows:

1. David S. Mesunas ("Mesunas") is a licensed securities agent (CRD #2254193) with a last known business address at 200 Washington St, Suite 280, Wausau, WI 54403;
2. At all times material hereto, Mesunas was employed as a securities agent with Stifel, Nicolaus & Co, Inc. at its branch office in Stevens Point, WI;
3. On at least one occasion, Mesunas transacted discretionary trading in a customers account with out having first obtained written authorization;
4. Mesunas's actions, as described above, are deemed to be "dishonest or unethical business practices" or "taking unfair advantage of a customer" and provide a basis, pursuant to DFI-Sec. 4.06(2)(i), Wis. Adm. Code and §551.34(1)(g), Wis. Stats., for the censure of a licensee.

THEREFORE, the staffs of the Bureaus of Licensing & Compliance and Registration & Enforcement petition the Administrator for the issuance of the attached Order.

DATED this 23<sup>rd</sup> day of May, 2007.

  
Helen E. Kluever  
Examiner  
Bureau of Licensing & Compliance

  
David A. Cohen  
Supervising Attorney  
Bureau of Registration & Enforcement