

BEFORE THE STATE OF WISCONSIN  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
DIVISION OF SECURITIES

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In the Matter of  
JEFFREY JOHN MILLER,

PETITION FOR ORDER

File No. S-05034 (EX)

Respondent.

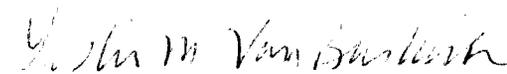
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The staff of the Bureau of Registration & Enforcement of the Division of Securities, Department of Financial Institutions, State of Wisconsin, has conducted an investigation into this matter pursuant to §551.56, Wisconsin Statutes, and as a result thereof alleges as follows:

1. The respondent Jeffrey John Miller ("Miller") (CRD # 2576559) is an individual who was employed as a securities agent with WMA Securities, Inc. ("WMA") from December, 1994 through February, 2001 and as a securities agent with United Securities Alliance, Inc., ("United") from February, 2001 through March, 2002. After that time, he conducted business without a securities agent license as a principal of One Vision Financial, Inc. ("One Vision"), with offices at 2850 Midwest Drive, Suite 103, Onalaska, Wisconsin 54650.
2. Miller plead guilty to charges of federal mail fraud, having used the U.S. Postal Service to defraud clients of his investment firm from 2000 through 2004, persuading more than 40 clients to invest approximately \$3.5 million in investment portfolios at his firm, then converting them to his personal use and for payment of business expenses. He gave worthless promissory notes and false account statements to his clients in furtherance of his scheme to defraud. In addition to constituting federal mail fraud, these acts constitute a violations of §551.41, Wis. Stats.
3. On November 15, 2005, the final judgment and commitment were entered against him in United States District Court for the Western District of Wisconsin, Case No. 05-CR-0091-C-01. Miller appealed the sentence enhancer for abusing a position of trust, but the district court was affirmed by the United States Court of Appeals for the Seventh Circuit, Case No. 05-4390, on July 18, 2006.

THEREFORE, the staff of the Bureau of Registration & Enforcement petitions the Administrator for the issuance of an Order of Prohibition and Revocation against Miller.

Dated this 25<sup>th</sup> day of January, 2007.



Leslie M. Van Buskirk  
Staff Attorney  
Bureau of Registration & Enforcement