

BEFORE THE  
STATE OF WISCONSIN  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
DIVISION OF SECURITIES

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In the Matter of  
BROOKSTREET SECURITIES CORP

PETITION FOR ORDER

Respondent.

File No. S-07114(LX)

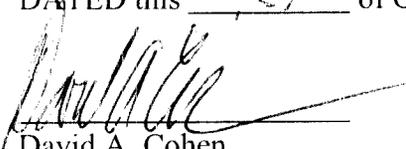
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The staffs of the Bureau of Licensing & Compliance and the Bureau of Registration & Enforcement, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats., and as a result thereof allege as follows:

1. Brookstreet Securities Corp. ("Brookstreet") is a licensed broker-dealer (CRD #14667) with a last known business address at 2361 Campus Dr. #210, Irvine, CA 92612;
2. On June 29, 2007, the staff send a notice to Brookstreet via the CRD system informing Brookstreet that the staff discovered certain deficiencies in it's Mequon location and asking that Brookstreet correct the deficiency;
3. This was followed up by a message sent on July 18, 2007 regarding another deficiency, this time at its Kenosha branch and again asking for a correction of the deficiency
4. Brookstreet did not respond to either message and on August 21, 2007 the staff send a letter by certified mail to Brookstreet informing them that they had until August 28, 2007 respond or the matter would be referred to the Bureau of Enforcement and Registration;
5. To date no response has been received, emails are returned as undeliverable and callers to Brookstreet are told that account transfer information can be obtained from National Financial;
6. Upon information and belief, Brookstreet is no longer in business which provides a basis, pursuant to sec. 551.34(5), Wis. Stats. for the revocation of its license;

THEREFORE, the staffs of the Bureaus of Licensing & Compliance and Registration & Enforcement petition the Administrator for the issuance of the attached Order.

DATED this 15 of October, 2007

  
David A. Cohen  
Supervising Attorney  
Bureau of Registration and Enforcement

  
Kenneth L. Hojnacki  
Director  
Bureau of Licensing & Compliance