

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
DAVID D. SWANTNER,

WAIVER AND CONSENT
TO ORDER

Respondent.

File No. S-06172(EX)

The undersigned Respondent, having decided not to contest the issuance of the attached Order, hereby waives his right to a hearing with respect to this matter and hereby consents to the issuance of the Order;

The undersigned Respondent understands that the Order is effective when signed by the Administrator of the Division of Securities and that a willful violation of an Order signed by the Administrator is a criminal offense.

EXECUTED this 20th day of November, 2006.

DAVID D. SWANTNER

David D. Swantner
David D. Swantner
(DOB 8/26/53)

State of Texas)
County of TRAVIS)

Subscribed before me this
20th day of November, 2006.

Princess D. Lynch
Notary Public

My commission expires _____

