

BEFORE THE
DIVISION OF SECURITIES
DEPARTMENT OF FINANCIAL INSTITUTIONS
STATE OF WISCONSIN

In the Matter of
EDWARD P. PERLEY, JR.

Respondent.

PETITION FOR ORDER

File No. S-06078-L

The staff of the Licensing & Compliance Bureau and the Enforcement Unit, Registration & Enforcement Bureau, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats., and as a result thereof allege as follows:

1. Edward P. Perley, Jr. ("Perley") (CRD #1040246) is currently a licensed securities agent with CRT Capital Group, LLC ("CRT") (CRD #28830) with a last known home address of 5 Union Avenue, Harrison, New York 10528;

2. On January 3, 2006, Perley applied through the CRD to become licensed in Wisconsin as a securities agent;

3. On January 18, 2006, the staff sent a request to David Amster ("Amster") of CRT with a carbon copy to Perley requesting documents relating to the disciplinary items that appear on Perley's CRD record. A date of February 9, 2006 was set for these documents to be filed;

4. On March 6, 2006, the staff sent a reminder letter to Amster with a carbon copy to Perley at the office address of 262 Harbor Drive, Stamford, Connecticut 06902 requesting a response by March 20, 2006;

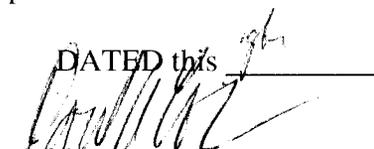
5. On April 6, 2006, the staff sent a letter by certified mail to David Amster (with a copy to Perley) asking the firm to withdraw the application by April 14, 2006 because the firm and the agent had not responded to our requests for information. Both certified letters were signed for on April 11, 2006 by John Bambus;

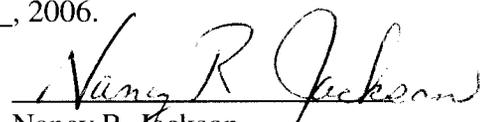
6. To date no response has been received to the staff's request for information, nor has the application been withdrawn;

7. The failure of Perley to complete his application, as described above, provides a basis, pursuant to sec. 551.34(1)(a), Wis. Stats for the denial of his license application.

THEREFORE, the staff of the Licensing & Compliance Bureau and the Enforcement Unit petition the Administrator for the issuance of the attached Order.

DATED this 14th day of May, 2006.


David A. Cohen
Supervising Attorney
Enforcement Unit


Nancy R. Jackson
Licensing Examiner
Licensing & Compliance Bureau