

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
DANIEL C. LANCER

PETITION FOR ORDER

Respondent.

File No. S-03269(LX)

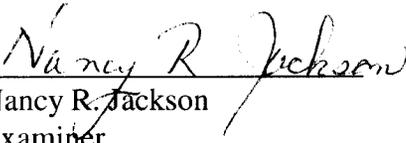
The staffs of the Bureau of Licensing & Compliance and the Enforcement Unit, Bureau of Registration & Enforcement, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats., and as a result thereof allege as follows:

1. Daniel C. Lancer ("Lancer") is a securities agent (CRD #2589449) with a last known business address at Richmark Capital Corporation ("Richmark"), 925 S. Federal Highway, Suite 200, Boca Raton, Florida 33432;
2. On August 26, 2003, Lancer filed an application to become licensed as a securities agent in Wisconsin;
3. Lancer's application contained disclosure items that the staff believed were significant enough to request further information from the agent;
4. On August 28, 2003, the staff sent a letter to Richmark with a due date of September 18, 2003, for the requested information. A carbon copy of this letter was sent to Lancer at Richmark's address;
5. Lancer's letter was returned undeliverable as addressed on September 8, 2003;
6. On October 1, 2003, the staff sent a reminder letter with a response date of October 15, 2003, to Richmark with a copy to Mr. Lancer. Mr. Lancer's copy was not returned undeliverable on this occasion.
7. On November 5, 2003, the staff sent a certified letter to Michael Claiborne at Richmark with a copy to Lancer requesting the firm to withdraw this application. This letter also advised that if a response was not received or the withdrawal completed by November 14, 2003, a referral would be made with a recommendation that the application be denied.
8. A. Gollignay signed for Mr. Claiborne's letter on November 12, 2003. Mr. Lancer's copy was returned undeliverable.
9. A response has not been received in the office, nor has a partial U5 been filed with the CRD.

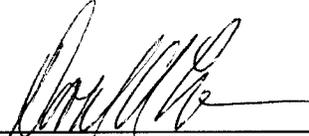
10. Lancer's failure to complete his application is a basis under sec. 551.34(1)(a) for a denial of his securities agent license application.

THEREFORE, the staffs of the Bureaus of Licensing & Compliance and Registration & Enforcement petition the Administrator for the issuance of the attached Order.

DATED this 20th day of January, 2004.



Nancy R. Jackson
Examiner
Bureau of Licensing & Compliance



David A. Cohen
Supervising Attorney
Bureau of Registration & Enforcement