

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of PETITION FOR ORDER
GLOBAL STRATEGIES d/b/a GOLDMAN QUINTERO & ASSOCIATES,
JOSHUA ADAMS, and
PAUL GOLDBERG,

Respondents.

File No. S-04140(EX)

The staff of the State of Wisconsin, Department of Financial Institutions, Division of Securities, Bureau of Registration & Enforcement, has conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats. and as a result thereof alleges as follows:

1. Global Strategies is a foreign business entity with a last known business address of 6630 Camino Maquiladora, Suite 287-2012, San Diego, California 92154;
2. Goldman Quintero & Associates is a foreign business entity with a last known business address of 2498 Roll Drive, Suite 744, San Diego, California 92154;
3. Joshua Adams ("Adams") is an individual who at all times material hereto has been an agent of Global Strategies, with a last known business address at that of Global Strategies;
4. Paul Goldberg ("Goldberg") is an individual who at all times material hereto has been an agent of Goldman Quintero & Associates, with a last known business address at that of Goldman Quintero & Associates;
5. During the period of 2002, Adams, on behalf of Global Strategies, offered and sold to at least one person in Wisconsin stock of the entity of Global Health;
6. During the period of 2003, Goldberg, on behalf of Goldman Quintero & Associates, offered and sold to the same person in Wisconsin, referred to in Paragraph 5 above, stock of the entity of Global Health;
7. The account number is identical for the accounts of Global Strategies and Goldman Quintero & Associates for the person in Wisconsin who purchased the stock of Global Health, referred to in Paragraphs 5 and 6 above, the investment checks were made payable to the same party and were cashed at the same bank in Tijuana, Mexico, and the account statements of Global Strategies and Goldman Quintero & Associates look almost identical;
8. Upon information and belief, Global Strategies is doing business as Goldman Quintero & Associates;
9. The stock of Global Health has never been registered for offer and sale in Wisconsin pursuant to Ch. 551, Wis. Stats.;
10. All Respondents have violated sec. 551.21(1), Wis. Stats., by offering and selling unregistered securities to a person in Wisconsin;

11. In connection with the offers and sales of the securities described above, Global Strategies doing business as Goldman Quintero & Associates transacted business in Wisconsin as a "broker-dealer," as that term is defined by sec. 551.02(3), Wis. Stats.;

12. At no time has Global Strategies doing business as Goldman Quintero & Associates been licensed as a securities broker-dealer pursuant to Ch. 551, Wis. Stats.;

13. Respondent Global Strategies doing business as Goldman Quintero & Associates has violated sec. 551.31(1), Wis. Stats., by transacting business in Wisconsin as a broker-dealer without a license;

14. In connection with the offers and sales of the securities described above, Adams transacted business in Wisconsin as an "agent" for Global Strategies doing business as Goldman Quintero & Associates, as that term is defined by sec. 551.02(2), Wis. Stats.;

15. At no time has Adams been licensed as a securities agent pursuant to Ch. 551, Wis. Stats.;

16. Adams has violated sec. 551.31(1), Wis. Stats., by transacting business in Wisconsin as a securities agent without a license;

17. In connection with the offers and sales of the securities described above, Goldberg transacted business in Wisconsin as an "agent" for Global Strategies doing business as Goldman Quintero & Associates, as that term is defined by sec. 551.02(2), Wis. Stats.;

18. At no time has Goldberg been licensed as a securities agent pursuant to Ch. 551, Wis. Stats.;

19. Goldberg has violated sec. 551.31(1), Wis. Stats., by transacting business in Wisconsin as a securities agent without a license;

20. Global Strategies doing business as Goldman Quintero & Associates has violated sec. 551.31(2), Wis. Stats., by employing unlicensed agents to represent it in Wisconsin;

21. On April 1, 2003, the State of Minnesota Department of Commerce issued an administrative sanction against Global Strategies and others for the offer and sale of unregistered securities through unlicensed agents; and

22. The staff of the Bureau of Registration & Enforcement wrote to the Respondents to request information and documents of their securities-related activities with persons in Wisconsin, but to date received no response despite the due date having passed for a response.

Therefore, the staff of the Bureau of Registration & Enforcement petitions the Administrator of the Division of Securities for the issuance of the attached Order pursuant to Ch. 551, Wis. Stats.

 6-23-04

 Mark E. Dorman Date
 Examiner

 6/25/04

 David A. Cohen Date
 Supervising Attorney