BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of GENE B. HARBORT	ORDER OF CENSURE AND PROHIBITION (SUMMARY)
Respondent.	File No. S-02081(LX)

Based upon the attached Petition for Order I find that this action is necessary and appropriate in the public interest and for the protection of investors;

Therefore, pursuant to secs. 551.34 (1) and 551.63, Wis. Stats.,

IT IS ORDERED THAT:

a. Gene B. Harbort is censured for and prohibited from executing a transaction on behalf of a customer without authority to do so, a prohibited business practice pursuant to sec. 551.34(1)(g), Wis. Stats.

b. Gene B. Harbort, is censured for and prohibited from failing to amend his securities agent license application to ensure it is accurate and complete in violation of sec. 551.33(3), Wis. Stats.

EXECUTED at Madison, Wisconsin, this 24th day of September, 2004.

(SEAL)

Patricia D. Struck
Administrator
Division of Securities

NOTICE

Under sec. 551.61(2), Wis. Stats., within thirty (30) days after any Order has become effective without a hearing, any interested party may file a written request with the Administrator of the Division of Securities for a hearing in respect to any matters determined by the Order. A request for hearing shall be in the form of a petition for hearing and shall, under section SEC 8.01, Wis. Adm. Code, plainly admit or deny each specific allegation, finding, or conclusion in the Order and incorporated papers (unless the petitioner lacks sufficient knowledge or information to permit an admission or denial, in which case the petition shall so state, and such statement shall have the effect of a denial), and shall state all affirmative defenses.

You are advised that any wilful violation of an Order issued by the Administrator of the Division of Securities of the Department of Financial Institutions of the State of Wisconsin under Ch. 551, Wis. Stats., is a criminal offense punishable under the provisions of sec. 551.58, Wis. Stats.