

BEFORE THE  
STATE OF WISCONSIN  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
DIVISION OF SECURITIES

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In the Matter of  
DBJ INVESTMENT VENTURES, INC., and  
DUSTIN DWAYNE JOHNSON  
aka DUSTIN DWAYNE GILLENWATER,

PETITION FOR ORDER

Respondents.

File No. S-04066(EX)

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The staff of the State of Wisconsin, Department of Financial Institutions, Division of Securities, Bureau of Registration & Enforcement, has conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats. and as a result thereof alleges as follows:

1. DBJ Investment Ventures, Inc. ("DBJ") is an Arizona corporation with a last known business address of 5556 E. Garnet Avenue, Mesa, Arizona 85206-6724;
2. Upon information and belief, Dustin Dwayne Johnson aka Dustin Dwayne Gillenwater ("Johnson") is an individual who at all times material hereto has been the owner, officer, and/or controlling person of DBJ, with a date of birth of May 6, 1976, with a last known business address at that of DBJ, home address of 921 S. Val Vista, Apartment 128, Mesa, Arizona 85204, and mailing address of PO Box 37354, Phoenix, Arizona 85069-7354;
3. Upon information and belief, during the period of December 2003, Johnson, on behalf of DBJ, offered and sold to at least one person in Wisconsin interests in an investment of DBJ;
4. Upon information and belief, Johnson obtained the name of the person in Wisconsin while Johnson was working at another firm with whom the person in Wisconsin had invested, and Johnson then contacted that person to also invest in Johnson's business of DBJ;
5. According to the person in Wisconsin, she received no documentation about her investment in DBJ either before or after investing;
6. Upon information and belief, investors can play a passive role in the business of DBJ, with no duties and responsibilities;
7. Upon information and belief, the investor will not be involved in the day-to-day management of DBJ, will not have any meaningful input, and does not have the expertise or experience necessary to perform its duties;
8. Since the investor will be involved in a common enterprise with the expectation of profits to be derived from the essential managerial efforts of others, the "interests" in DBJ offered and sold by the Respondents are investment contracts as defined by section DFI-Sec. 1.02(6)(a), Wis. Adm. Code, and are therefore securities as defined by sec. 551.02(13), Wis. Stats.;
9. The DBJ investment contracts have never been registered for offer and sale in Wisconsin pursuant to Ch. 551, Wis. Stats.; and
10. The staff of the Bureau of Registration & Enforcement has requested by letter and telephone of the Respondents certain information and documents relating to their investment-related activities with persons in Wisconsin, but has not received a written response.

