

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
ROHAN BEDI

Respondent.

PETITION FOR ORDER

File No. S-04213-(LX)

The staffs of the Licensing & Compliance Section and the Enforcement Unit, Registration & Enforcement Section, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats. and as a result thereof allege as follows:

1. Rohan Bedi ("Bedi") is a securities agent (CRD #4145934) with a last known business address at 2805 Veterans Highway, Suite 21, Ronkonkoma, New York 11779;
2. On June 4, 2004, Bedi applied through the CRD to become licensed as a securities agent in Wisconsin;
3. On June 4, 2004, the staff sent a letter to Bedi's firm with a carbon copy to Bedi explaining that we needed a complete statement from the applicant on the details for all charges and/or actions involving the applicant reported on the CRD by June 25, 2004;
4. On July 6, 2004, the staff sent a second request to Bedi's firm with a carbon copy to Bedi requesting that the information be provided by July 20, 2004. It was also stated that the application may be denied if a response was not received by that date.
5. On August 2, 2004, the staff sent a letter by certified mail to the firm and the applicant again asking the firm to withdraw Bedi's application. A withdrawal date of August 16, 2004 was given;
6. To date, the staff has not received any additional information nor has the application been withdrawn;
7. The failure of Bedi to complete his application, as described above, provides a basis, pursuant to sec. 551.34(1)(a), Wis. Stats for the denial of his license application.

THEREFORE, the staffs of the Licensing & Compliance Section and the Enforcement Unit petition the Administrator for the issuance of the attached Order.

DATED this 16th day of September, 2004.


David A. Cohen
Supervising Attorney
Bureau of Registration and Enforcement


Nancy R. Jackson
Examiner
Licensing and Compliance Bureau