

BEFORE THE  
DIVISION OF SECURITIES  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
STATE OF WISCONSIN

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In the Matter of  
DIGITAL INFORMATION AND VOICE ACCESS, INC.  
PTAK FINANCIAL PLANNING GROUP, INC.,  
JOE HAROLD GLOVER, and  
PAUL O. PTAK,

PETITION FOR ORDER

Respondents.

File No. S-03126(EX)

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The staff of the State of Wisconsin, Department of Financial Institutions, Division of Securities, Bureau of Registration & Enforcement, has conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats. and as a result thereof alleges as follows:

1. Digital Information and Voice Access, Inc. is a foreign business entity with a last known business address c/o Daniel H. Weaver, 2925 LBJ Freeway, Suite. 283, Dallas, Texas 75234;
2. Ptak Financial Planning Group, Inc. is a foreign business entity with a last known business address of 800 Brazos Street, Suite 750, Austin, Texas 78701-2554;
3. Joe Harold Glover ("Glover") is an individual, who, upon information and belief, is 52 years old and is the president of Digital Information and Voice Access, Inc., with a last known address c/o Attorney Roger E. Haynes, 3500 Oak Lawn Avenue, Suite 700, Dallas, Texas 75219;
4. Paul O. Ptak ("Ptak") is an individual, with a date of birth of March 11, 1952 and a CRD number of 186673, who at all times material hereto has been an owner, officer and/or controlling person of Ptak Financial Planning Group, Inc., with a last known home address at that of 2641 Waterford Way, Carrollton, TX, 75006;
5. During the period of February 2000, Ptak Financial Planning Group, Inc. and Ptak, on behalf of Digital Information and Voice Access, Inc., and Glover, offered and sold to at least one person in Wisconsin interests in shares of Digital Information and Virtual Access, Inc.;
6. The shares of Digital Information and Virtual Access are securities as defined by sec. 551.02(13), Wis. Stats., and have never been registered for offer and sale in Wisconsin pursuant to Ch. 551, Wis. Stats.;
7. The shares of Digital Information and Virtual Access, Inc. have never been registered for offer and sale in Wisconsin pursuant to Ch. 551, Wis. Stats.;
8. Ptak Financial Planning Group, Inc. and Ptak have violated sec. 551.21(1), Wis. Stats., by offering and selling unregistered securities to a person in Wisconsin;
9. In connection with the offers and sales of the securities described in Paragraph 5, Ptak Financial Planning Group, Inc. transacted business in Wisconsin as a "broker-dealer," as that term is defined by sec. 551.02(3), Wis. Stats.;
10. At no time material hereto has Ptak Financial Planning Group, Inc. been licensed as a securities broker-dealer pursuant to Ch. 551, Wis. Stats.;

