

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
BIOGENETIC RESEARCH, INC.,
RICHARD E. BUFFINGTON III,
and GINA R. BECKER,

PETITION FOR ORDER

Respondents.

File No. S-00256(EX)

The staff of the Bureau of Registration & Enforcement, of the Division of Securities, Department of Financial Institutions, State of Wisconsin has conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats., and as a result thereof alleges as follows:

1. Biogenetic Research, Inc. ("BRI") is a foreign business entity with last known business addresses of 45 Wall St., Ste. 1622, New York, NY 10005 and 242 Wilshire Blvd., Casselberry, FL 32707
2. Richard E Buffington III (DOB 12/21/69) ("Buffington") is an individual who at all times material hereto has been President of BRI, with a last known business address of 242 Wilshire Blvd., Casselberry, FL 32707 and a last known residence address of 2984 Ribbon Ct. Ft. Myers, FL 33905.
3. Scott Q. Dart (DOB 3/22/61) ("Dart") is an individual who at all times material hereto has been a Director of BRI, with a last known business address of 242 Wilshire Blvd., Casselberry, FL 32707 and a last known residence address of 543 Sunridge Pl., #106, Altamonte Springs, FL 32714.
4. Gina R. Becker (DOB 3/17/71) is an individual who at all times material hereto has been a Director of BRI, and an agent of BRI, Buffington, and Dart, with a last known business address of 242 Wilshire Blvd., Casselberry, FL 32707
5. During the period of October 2000, Becker, on behalf of BRI, Buffington, and Dart, offered to at least one person in Wisconsin the opportunity to purchase the stock of BRI.
6. The shares of stock of BRI are securities as defined by sec. 551.02(13), Wis. Stats., and have never been registered for offer and sale in Wisconsin pursuant to Ch. 551, Wis. Stats.
7. BRI, Buffington, Dart and Becker have violated sec. 551.21(1), Wis. Stats., by offering unregistered securities in Wisconsin.
8. In connection with the offers of the securities described above, Becker transacted business in Wisconsin as an "agent" for BRI, Buffington, and Dart, as that term is defined by sec. 551.02(2), Wis. Stats.
9. At no time has Becker been licensed as a securities agent pursuant to Ch. 551, Wis. Stats.
10. Becker has violated sec. 551.31(1), Wis. Stats., by transacting business in Wisconsin as a securities agent without a license.
11. BRI, Buffington, and Dart have violated sec. 551.31(2), Wis. Stats., by employing an unlicensed agent to represent them in Wisconsin.

