

BEFORE THE
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES
STATE OF WISCONSIN

In the Matter of
HANS S. WEGESSER

ORDER OF CENSURE AND
PROHIBITION AND SUSPENSION
OF SECURITIES AGENT LICENSE
(SUMMARY)

Respondent.

File No. S-02151(LX)

Based upon the attached Petition for Order I find that this action is necessary and appropriate in the public interest and for the protection of investors;

Therefore, pursuant to secs. 551.34 and 551.63, Wis. Stats.,

IT IS ORDERED THAT:

- a. Hans S. Wegesser is censured, and he and his agents, servants, employees, and every entity and person directly or indirectly controlled or organized by or on his behalf, are prohibited from borrowing money from customers of his employing broker dealer in violation of sec. 551.34(1)(g), Wis. Stats.
- b. The securities agent license of Hans S. Wegesser is suspended as of the last date of effectiveness, October 23, 2001.

EXECUTED at Madison, Wisconsin, this 22nd day of October, 2002.

(SEAL)


Randall E. Schumann
Legal Counsel for the Division
Division of Securities

NOTICE:

Under sec. 551.61(2), Wis. Stats., within thirty (30) days after any Order has become effective without a hearing, any interested party may file a written request with the Administrator of the Division of Securities for a hearing in respect to any matters determined by the Order. A request for hearing shall be in the form of a petition for hearing and shall, under section SEC 8.01, Wis. Adm. Code, plainly admit or deny each specific allegation, finding, or conclusion in

the Order and incorporated papers (unless the petitioner lacks sufficient knowledge or information to permit an admission or denial, in which case the petition shall so state, and such statement shall have the effect of a denial), and shall state all affirmative defenses.

You are advised that any wilful violation of an Order issued by the Administrator of the Division of Securities of the State of Wisconsin Department of Financial Institutions under Ch. 551, Wis. Stats., is a criminal offense punishable under the provisions of sec. 551.58, Wis. Stats.