

BEFORE THE
STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES

In the Matter of
VIKRAM V. NAIK

ORDER OF CENSURE AND
PROHIBITION AND SUSPENSION
OF SECURITIES AGENT LICENSE
(CONSENT)

Respondent.

File No. S-02150(LX)

Based upon the attached Petition for Order and Waiver and Consent to Order I find that this action is necessary and appropriate in the public interest and for the protection of investors;

Therefore, pursuant to secs. 551.34(2) and 551.63, Wis. Stats.,

IT IS ORDERED THAT:

- a. Vikram V. Naik is censured for and prohibited from forging customer names in violation of sec. 551.34(2), Wis. Stats.
- b. The securities agent license of Vikram V. Naik is suspended for a period of 45 days starting December 1, 2002.

EXECUTED at Madison, Wisconsin this ^{PVX} ~~27~~ 40 day of November, 2002.

(SEAL)



Patricia D. Struck
Administrator
Division of Securities

NOTICE:

You are advised that any willful violation of an Order issued by the Administrator of the Division of Securities of the State of Wisconsin Department of Financial Institutions under Ch. 551, Wis. Stats., is a criminal offense punishable under the provisions of sec. 551.58, Wis. Stats.