

BEFORE THE  
DIVISION OF SECURITIES  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
STATE OF WISCONSIN

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In the Matter of  
BARRIE J. RUSH

Respondent.

PETITION FOR ORDER

File No. S-01076(LX)

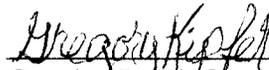
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The staffs of the Bureau of Licensing & Compliance and Bureau of Registration & Enforcement, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats., and as a result thereof allege as follows:

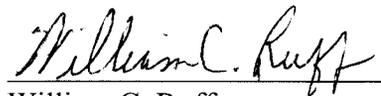
1. Barrie J. Rush ("Rush") is a securities agent (CRD #407369) with a last known residence address at 422 N. Montgomery Street, Port Washington, WI 53074-1554;
2. At all times material hereto Rush was licensed in the state of Wisconsin as a securities agent with a broker-dealer;
3. Upon information and belief, during the year 2000, Rush transacted business in the accounts of at least one person in Wisconsin without authority to do so;
4. Upon information and belief, Rush made a verbal admission to the branch manager at his firm at the time, Dan Rauscher, that he made trades in an account without specific authorization and that he generally took liberty to make investment decisions on the client's behalf.
5. The actions of Rush, as described in Paragraph # 3 above, are deemed by DFI-Sec. 406(2)(i), Wis. Admin. Code, to be "dishonest or unethical business practices" or "taking unfair advantage of a customer" and are grounds pursuant to sec. 551.34(1)(g), Wis. Stats. for the censure of a license.

THEREFORE, the staff of the Division of Securities petitions the Administrator for the issuance of the attached Order

DATED this 8<sup>th</sup> day of July, 2002.

  
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Gregory L. Kipfer

Examiner  
Bureau of Registration & Enforcement

  
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William C. Ruff

Examiner  
Bureau of Licensing & Compliance