

BEFORE THE
DIVISION OF SECURITIES
DEPARTMENT OF FINANCIAL INSTITUTIONS
STATE OF WISCONSIN

In the Matter of
MID-OHIO SECURITIES CORP
Respondent.

PETITION FOR ORDER

File No. S-02242-LX

The staffs of the Bureau of Licensing & Compliance and the Bureau of Registration & Enforcement, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats. and as a result thereof allege as follows:

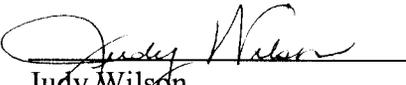
1. Mid-Ohio Securities Corp ("MOSC") is a broker-dealer (CRD #6634) with a last known address of 36 Lake Avenue, Elyria, Ohio 44035-5526;
2. On February 5, 2002, MOSC applied through the CRD to become licensed as a broker-dealer in Wisconsin;
3. On February 5, 2002, the staff notified MOSC of several items needed to complete its application and that those items must be filed by August 5, 2002 or the staff would ask the Administrator to deny its application;
4. On October 15, 2002, the staff sent a letter by certified mail to MOSC asking it to withdraw its application by November 5, 2002 for failure to complete its application;
5. The certified letter sent by the staff was received by Valerie Frye at MOSC on October 21, 2002;
6. To date, MOSC has neither sent the requested information or withdrawn its application;
7. The failure of MOSC to complete its application, as described above, provides a basis, pursuant to sec. 551.34(1)(a), Wis. Stats. for the denial of its license application.

THEREFORE, the staffs of the Bureau of Licensing & Compliance and the Bureau of Registration & Enforcement petition the Administrator for the issuance of the attached Order.

DATED this 5th day of December, 2002.



David A. Cohen
Supervising Attorney
Bureau of Registration & Enforcement



Judy Wilson
Licensing Examiner
Bureau of Licensing & Compliance