

BEFORE THE  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
DIVISION OF SECURITIES  
STATE OF WISCONSIN

---

In the Matter of  
KUHNS BROTHERS SECURITIES CORP.  
Respondent.

PETITION FOR ORDER

File No. S-02169 (LX)

---

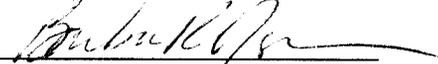
The staffs of the Licensing & Compliance Bureau and the Enforcement Unit, Registration & Enforcement Bureau, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats. and as a result thereof allege as follows:

1. Kuhns Brothers Securities Corp. ("Kuhns") is a broker-dealer (CRD #47331) with a last known address of 333 Ludlow Street, Harbor Park, Stamford, CT 06902;
2. On December 14, 2001, Kuhns applied through the CRD to become licensed as a broker-dealer in Wisconsin;
3. On December 19, 2001, the staff notified Kuhns of several items needed to complete its application and that those items must be filed by August 14, 2002 or the staff would ask the Administrator to deny its application;
4. On June 19, 2002, the staff sent a certified letter to Kuhns stating that the actual deadline date of the application on the December 19, 2001 letter was incorrect and the deadline should have been June 14, 2002. The staff advised Kuhns that they needed to complete or withdraw the application by July 5, 2002, or the application would be denied;
5. To date, the staff has not received the information that it requested;
6. The failure of Kuhns to complete its application, as described above, provides a basis, pursuant to sec. 551.34(1)(a), Wis. Stats for the denial of its license application.

THEREFORE, the staffs of the Licensing & Compliance Section and the Enforcement Unit petition the Administrator for the issuance of the attached Order.

DATED this 26<sup>th</sup> day of August, 2002.

  
\_\_\_\_\_  
David A. Cohen  
Supervising Attorney  
Enforcement Unit

  
\_\_\_\_\_  
Barbara R. Olson  
Licensing Examiner  
Licensing & Compliance Bureau