

BEFORE THE  
DIVISION OF SECURITIES  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
STATE OF WISCONSIN

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In the Matter of  
BEACON HILL FINANCIAL CORPORATION  
Respondent.

PETITION FOR ORDER  
File No. S-02157-LX

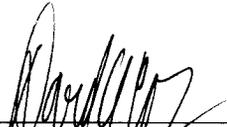
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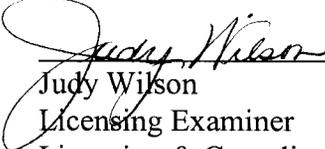
The staffs of the Licensing & Compliance Section and the Enforcement Unit, Registration & Enforcement Section, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats. and as a result thereof allege as follows:

1. Beacon Hill Financial Corporation ("BHF") is a broker-dealer (CRD #14850) with a last known address of 19 Elm Street, Cohasset, MA 02025;
2. On January 22, 2001, BHF applied through the CRD to become licensed as a broker-dealer in Wisconsin;
3. On January 30, 2001, the staff notified BHF of several items needed to complete its application and that those items must be filed by July 22, 2001 or the staff would ask the Administrator to deny its application;
4. On July 2, 2002, the staff sent a letter by certified mail BHF asking it to withdraw its application by July 23, 2002, for failure to complete its application;
5. The certified letter sent by the staff was received by Alex Johnston at BHF;
6. To date, BHF has neither sent the requested information or withdrawn its application;
7. The failure of BHF to complete its application, as described above, provides a basis, pursuant to sec. 551.34(1)(a), Wis. Stats. for the denial of its license application.

THEREFORE, the staffs of the Licensing & Compliance Section and the Enforcement Unit petition the Administrator for the issuance of the attached Order.

DATED this 13<sup>th</sup> day of August, 2002.

  
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David A. Cohen  
Supervising Attorney  
Enforcement Unit

  
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Judy Wilson  
Licensing Examiner  
Licensing & Compliance Section