

BEFORE THE  
DIVISION OF SECURITIES  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
STATE OF WISCONSIN

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In the Matter of  
NT DISTRIBUTORS, INC.

PETITION FOR ORDER

Respondent.

File No. S-00188 (LX)

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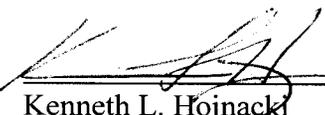
The staffs of the Licensing & Compliance Section and the Enforcement Unit, Registration & Enforcement Section, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats. and as a result thereof allege as follows:

1. NT Distributors, Inc. ("NTDI") is a broker-dealer (CRD #47338) with a last known address of 4400 Computer Dr., Westborough, MA 01581-5120;
2. On April 26, 1999, NTDI applied through the CRD to become licensed as a broker-dealer in Wisconsin;
3. On April 26, 1999, the staff notified NTDI of several items needed to complete its application and that those items must be filed by October 26, 1999 or the staff would ask the Administrator to deny its application;
4. On June 22, 1999, the staff sent a letter to NTDI asking them to withdraw since they had withdrawn from the NASD
5. On February 25, 2000, the staff sent a letter by certified mail again asking the firm to complete or withdraw its application by March 3, 2000;
6. To date, the staff has not received the information that it requested;
7. The failure of NTDI to complete its application, as described above, provides a basis, pursuant to sec. 551.34(1)(a), Wis. Stats., for the denial of its license application.

THEREFORE, the staffs of the Licensing & Compliance Section and the Enforcement Unit petition the Administrator for the issuance of the attached Order.

DATED this 3<sup>rd</sup> day of August, 2000.

  
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David A. Cohen  
Supervising Attorney  
Bureau of Registration & Enforcement

  
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Kenneth L. Hojnacki  
Director  
Bureau of Licensing & Compliance