

BEFORE THE  
DIVISION OF SECURITIES  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
STATE OF WISCONSIN

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In the Matter of  
RAYMOND G. CARLSON,

PETITION FOR ORDER

Respondent.

File No. S-00004(EX)

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The staff of the Enforcement Unit, Registration & Enforcement Section, of the Division of Securities, Department of Financial Institutions, State of Wisconsin has conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats. and as a result thereof alleges as follows:

1. Caffé Diva Group, Ltd. ("Caffé Diva") is a foreign business entity with a last known business address of 15688 SW 72<sup>nd</sup> Avenue, Portland, Oregon 97224.
2. Corlogic Corporation ("Corlogic") is a foreign business entity with a last known business address of 762 Boston Post Rd., Madison, CT 06443.
3. Pacific Air Transport, Inc. ("Pacific Air") is a foreign business entity with a last known business address of 12304 Santa Monica Blvd., Ste 116, Los Angeles, CA 99025.
4. Technical Support Services, Inc. ("Technical Support") is a foreign business entity with a last known business address of 1050 Kingsmille Parkway, Columbus, Ohio 43229.
5. World Vision Entertainment, Inc. ("World Vision") is a foreign business entity with a last known business address of 285 National Pl., #127, Longwood, FL.
6. Raymond G. Carlson (DOB 10/19/45) ("Carlson") is an individual with a last known home address at 419 Prospect Ave, Sheboygan Falls, WI 53085-1247
7. Carlson has been an insurance agent licensed with the Office of the Wisconsin Commissioner of Insurance at all times material hereto.
8. During the period of 1998 and 1999, Carlson, on behalf of Caffé Diva, offered and sold the promissory notes of Caffé Diva to at least six persons in Wisconsin.
9. During the period of 1999, Carlson, on behalf of Corlogic, offered and sold the promissory notes of Corlogic to at least eleven persons in Wisconsin.
10. During the period of 1998 and 1999, Carlson, on behalf of Pacific Air, offered and sold the promissory notes of Pacific Air to at least ten persons in Wisconsin.
11. During the period of 1998, Carlson, on behalf of Technical Support, offered and sold the promissory notes of Technical Support to at least five persons in Wisconsin.
12. During the period of 1998, Carlson, on behalf of World Vision, offered and sold the promissory notes of World Vision to at least six persons in Wisconsin.

- 13. The promissory notes of Caffé Diva, Corlogic, Pacific Air, Technical Support, and World Vision are securities as defined by sec. 551.02(13), Wis. Stats., and have never been registered for offer and sale in Wisconsin pursuant to Ch. 551, Wis. Stats.
- 14. Carlson has violated sec. 551.21(1), Wis. Stats., by offering and selling unregistered securities in Wisconsin.
- 15. In connection with the offer and sale of the securities described above, Carlson transacted business in Wisconsin as an "agent" for Caffé Diva, Corlogic, Pacific Air, Technical Support, and World Vision, as that term is defined by sec. 551.02(2), Wis. Stats.
- 16. At no time has Carlson been licensed as a securities agent pursuant to Ch. 551, Wis. Stats.
- 17. Carlson has violated sec. 551.31(1), Wis. Stats., by transacting business in Wisconsin as a securities agent without a license.
- 18. On September 16, 1999, the Wisconsin Department of Financial Institutions, Division of Securities, issued Summary Orders of Prohibition against Caffé Diva, et al.
- 19. On December 22, 1999, the Wisconsin Department of Financial Institutions, Division of Securities, issued Consent Orders of Prohibition against Pacific Air, et al.
- 20. On September 23, 1999, the Wisconsin Department of Financial Institutions, Division of Securities, issued Summary Orders of Prohibition and Revocation against Technical Support, et al.
- 21. On June 16, 1999, the Wisconsin Department of Financial Institutions, Division of Securities, issued Summary Orders of Prohibition and Revocation against World Vision, et al.

Therefore, the staff of the Enforcement Unit petitions the Administrator of the Division of Securities for the issuance of the attached Order pursuant to Ch. 551, Wis. Stats.

Mark E. Dorman 1-20-00  
 Mark E. Dorman Date  
 Examiner  
 Enforcement Unit

David A. Cohen 1/20/00  
 David A. Cohen Date  
 Supervising Attorney  
 Enforcement Unit