

BEFORE THE
DIVISION OF SECURITIES
DEPARTMENT OF FINANCIAL INSTITUTIONS
STATE OF WISCONSIN

In the Matter of
LAKESIDE TRADING

PETITION FOR ORDER

Respondent.

File No. S-99151(LX)

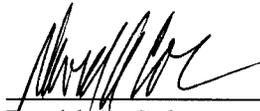
The staffs of the Licensing & Compliance Section and the Enforcement Unit, Registration & Enforcement Section, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats. and as a result thereof allege as follows:

1. Lakeside Trading ("Lakeside") is a licensed broker-dealer (CRD #39418) with a last known business address at 3850 N. Causeway Blvd., Suite 710, Metairie, LA 70002;
2. On June 9, 1999, Lakeside's registration with the National Association of Securities Dealers, Inc. ("NASD") was cancelled because Lakeside failed to file its annual audit;
3. The cancellation of Lakeside's NASD membership provides a basis, pursuant to sec. 551.34(1)(f), Wis. Stats., for the suspension or revocation of Lakeside's broker-dealer license;
4. Telephone calls to Lakeside's telephone number result in a message that the phone has been disconnected;
5. Upon information and belief, Lakeside is no longer in business as a broker-dealer which provides a basis, pursuant to sec. 551.34(5), Wis. Stats., for the revocation of its broker-dealer license;

THEREFORE, the staff of the Licensing & Compliance Section and the Enforcement Unit petition the Administrator for the issuance of the attached order.

DATED this 2nd day of August, 1999.


Nancy R. Jackson
Licensing Examiner
Licensing & Compliance Section


David A. Cohen
Supervising Attorney
Enforcement Unit