

BEFORE THE
DIVISION OF SECURITIES
DEPARTMENT OF FINANCIAL INSTITUTIONS
STATE OF WISCONSIN

In the Matter of
MARK B. SIRIANNI

PETITION FOR ORDER

Respondent.

File No. S-98225(LX)

The staffs of the Licensing & Compliance Section and the Enforcement Unit, Registration & Enforcement Section, of the Division of Securities, Department of Financial Institutions, State of Wisconsin have conducted an investigation in this matter pursuant to sec. 551.56, Wis. Stats., and as a result thereof allege as follows:

1. Mark B. Sirianni is a securities agent (CRD # 1185128) with a last known business address at 350 Grand Ave., Suite 101, Wausau, WI 54403;
2. On December 8, 1998 the staff issued a Consent Order of Suspension in this matter;
3. The December 8 Order was issued based on the understanding that Sirianni would be licensed as a securities agent the next day;
4. Due to circumstances beyond the staff's control, Sirianni was not licensed on December 9, 1998;

THEREFORE, the staffs of the Licensing & Compliance Section and the Enforcement Unit petition the Administrator for the issuance of the attached Order.

DATED this 15th day of December, 1998.



Kenneth L. Hojnacki
Director
Licensing & Compliance Section



David A. Cohen
Supervising Attorney
Enforcement Unit

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1. Mark B. Sirianni is a securities agent (CRD # 1185128) with a last known business address at 350 Grand Ave., Suite 101, Wausau, WI 54403;
2. During the period of February to July 1998, Sirianni executed transactions on behalf of a customer without authority to do so;
3. The actions of Sirianni, as described above are deemed, pursuant to DFI-Sec 4.06(2)(I), Wis. Adm. Code, "dishonest or unethical business practices" or "taking unfair advantage of a customer" and provide a basis, pursuant to sec. 551.34(1)(g), Wis. Stats., for the suspension of Sirianni's securities agent license;

THEREFORE, the staffs of the Licensing & Compliance Section and the Enforcement Unit petition the Administrator for the issuance of the attached Order.

DATED this 8th day of November, 1998.


Judith A.L. Wilson
Examiner
Licensing & Compliance Section


David A. Cohen
Supervising Attorney
Enforcement Unit