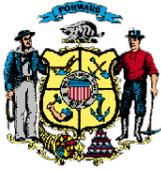


STATE OF WISCONSIN
DEPARTMENT OF FINANCIAL INSTITUTIONS
DIVISION OF SECURITIES



66F West Washington Ave. Suite 300
PO Box 1768
Madison, WI 53701-1768
(608) 266-2139
TTY: (608) 266-8818
Internet: www.wdfi.org

FINANCIAL CERTIFICATION

Pursuant to s. DFI-Sec 5.02, Wis. Adm. Code, every investment adviser whose principal office is located in Wisconsin and that collects advisory fees of \$1,200 or more, six months or more in advance shall maintain a positive net worth at all times. If the investment adviser will have custody of client funds or securities, a \$35,000 net capital must be maintained. This shall be in the form of cash, securities or other liquid assets. If the investment adviser is an individual, the capital used to meet the net capital requirement must be segregated from the individual's personal assets and used solely for the business for which the adviser is licensed.

FIRM'S NAME: _____

FIRM'S ADDRESS: _____

FIRM'S IARD NUMBER: _____

I, the undersigned, do hereby certify that the above mentioned firm has and will continue to maintain at all times, the net capital sufficient to meet the requirement in s. DFI-Sec 5.02, Wis. Adm. Code.

I further certify that the accompanying financial statements are true to the best of my belief and knowledge.

Typed Name and Title of an Officer of the Company

Signature

Date

**This document can be made available in alternate formats upon request to
qualifying individuals with disabilities.**