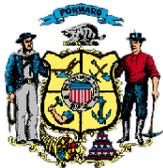


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WISCONSIN AGENT FOR ISSUER U4 SUPPLEMENTAL INFORMATION

1. Name of Issuer: _____

2. Name of Offering: _____

3. Full name, residence and Social Security number of agent applicant:

(Last)	(First)	(Middle)
(Street Address or Route Number)		
(City)	(State)	(Zip)
(Social Security Number)		

4. Has applicant previously been registered in Wisconsin? _____ Yes _____ No

If "yes", provide name of broker-dealer or issuer for whom registration:

5. Applicant's Wisconsin securities activities will consist of:

_____ Solicitation only, no sales

_____ Agent of Issuer sales for:
 _____ Registered Securities
 _____ Exempt Offering
 (S-63 Exam Results & Proof of Applicable FINRA
 Exam Attached)

QUALIFICATION EXAMINATIONS:

Each **AGENT FOR ISSUER** registration applicant must have passed the Uniform Securities Agent State Law Examination (S63) and the FINRA Representative's Examination applicable to the type of securities business the applicant will transact.

UNDERTAKING: Each application must be accompanied by an Undertaking outlining the training and supervision that will be provided by the issuer.

FEES: Each applicant must include the \$80 filing fee prescribed by sec. 551.614(2), Wis. Stats.

**This document can be made available in alternate formats upon request to
qualifying individuals with disabilities.**

This form is required under Section 551.406(1) Wis. Stats. Failure to comply within 30 days may result in the denial of a registration.

FOR SECURITIES OFFICE USE ONLY

Filing Fee: _____

Registration Number: _____

Receipt Number: _____

Registration Date: _____

Limitations: _____