

SELLER OF CHECKS ANNUAL REPORT

**Due Date:
March 15, 2020**



State of Wisconsin
 Department of Financial Institutions
 Division of Banking
 PO Box 7876
 Madison, WI 53707-7876
 Telephone: (608) 261-7578
 FAX: (608) 267-6889

4822 Madison Yards Way
 North Tower
 Madison, WI 53705
www.wdfi.org

FOR YEAR ENDING DECEMBER 31, 2019

1. Name of licensee. If the licensee uses one or more trade names or doing business as (DBA) names, also include those.

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2. Name, title, address, telephone number, and e-mail address of person to whom questions regarding this report should be addressed:

First Name:		Last Name:		Title:	
Street:			City:		
State:	Zip:	Telephone Number:	E-mail:		

3. Licensee's website address:

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4. Number of agents in Wisconsin that were authorized to transmit money or sell your checks, money orders, stored value, or similar instruments as of 12/31/2019.....#_____

5. Complete the following chart for the time period from 1/01/2019 to 12/31/2019.

	Number (#) of checks, money orders, stored value, or similar instruments issued or sold	Dollar amount of checks, money orders, stored value, or similar instruments issued or sold
In Wisconsin		\$
In All States and Countries (including Wisconsin)		\$
	Number (#) of money transmittals	Dollar amount of money transmittals
In Wisconsin		\$
In All States and Countries (including Wisconsin)		\$

6. Number of money transmittals, checks, money orders, stored value, or similar instruments issued or sold in Wisconsin that were unredeemed as of December 31, 2019.....#_____

Amount in dollars.....\$_____

7. The following questions must be answered by a duly authorized representative (key officer, member, partner or owner) of the licensee. Mark an "X" in the appropriate box. If you answer "Yes" to any question, give all details on a separate sheet. "Key Officers" include the chief executive officer, chief operating officer, president, executive or senior vice president, secretary, and treasurer. If your company has not previously filed an annual report with the Division of Banking, the questions should be answered for the time period since your license application was submitted.

Yes **No**

- Since filing your previous annual report, has any key officer, member, partner or owner been convicted of any misdemeanor or felony (other than minor traffic offenses) in this state or any other state? Provide details about the misdemeanor or felony, including but not limited to conviction, conviction date, penalty and court.
- Are there any felony or misdemeanor charges (other than minor traffic offenses) pending against any key officer, member, partner or owner in this state or any other state? Provide details about the pending charges, including but not limited to charge, date and court.
- Since filing your previous annual report, has the licensee or any key officer, member, partner or owner been the subject of disciplinary action including, but not limited to, civil forfeitures, cease and desist orders, injunctions, license suspensions, denials, revocations, warnings, reprimands, enforcement actions, probation and limitations by any federal or state regulatory agency? Provide details about the disciplinary action, including but not limited to date, regulatory agency and type of discipline.
- Is disciplinary action pending against the licensee or any key officer, member, partner or owner by any federal or state regulatory agency? Provide details, including but not limited to action and regulatory agency.
- Since filing your previous annual report, has the licensee or any key officer, member, partner or owner surrendered, resigned, cancelled or been denied a professional license or other credential in this state or any other state? Provide details, including but not limited to date, credential and state.
- Since filing your previous annual report, has the licensee or any key officer, member, partner or owner been the subject of derogatory credit (bankruptcy, judgment, tax lien, collections, etc.)? Provide details, including but not limited to date, circumstances and court or agency.
- Since filing your previous annual report, has the licensee been the subject of any suit, claim, or other civil action in this state or any other state that involved a violation of the federal consumer credit protection act or any state statute that governs a credit transaction and that was settled, or included a ruling or decision not in the licensee's favor? Provide a description of the suit, claim, or other civil action, agency or court, date filed, and outcome.
- Is a suit, claim or other civil action pending against the licensee in this state or any other state that involves a violation of the federal consumer credit protection act or any state statute that governs a credit transaction? Provide details, including but not limited to agency or court and date.
- Since filing your previous annual report, has any key officer, member, partner or owner been the subject of any suit, claim, or other civil action in this state or any other state that was settled, or included a ruling or decision not in the individual's favor? Provide a description of the suit, claim, or other civil action, agency or court, date filed, and outcome.
- Is a suit, claim or other civil action pending against any key officer, member, partner or owner in this state or any other state? Provide details, including but not limited to agency or court and date.
- Since filing your previous annual report, have any key officers, members, partners, or owners of the licensee also been a key officer, member, partner, or owner of another company that was the subject of disciplinary action while that individual was a key officer, member, partner or owner of the other company? Disciplinary action includes, but is not limited to, civil forfeitures, cease and desist orders, injunctions, license suspensions, denials, revocations, warnings, reprimands, enforcement actions, probation, and limitations by any federal or state regulatory agency. Provide details about the disciplinary action, including but not limited to company name, date, regulatory agency, and type of discipline.

8. Identify the type(s) of products and/or services that will be offered to Wisconsin residents by the licensee. Do not include products or services being sold by the licensee on behalf of another company as its agent. Mark all that apply.

Money Transmission

Travelers Checks

Money Orders

Stored Value Products

Drafts

Bill Payment Services

Virtual Currency

Other (Please Specify)

AFFIDAVIT

I, _____, the undersigned, being the duly authorized representative of
(Print Name of Key Officer/Member/Partner/Owner)

_____ hereby certify that each statement and representation in this
(Name of Licensee)

annual report is true and correct to the best of my knowledge.

(Signature of Key Officer/Member/Partner/Owner) (Title) (Date)

SUBSCRIBED AND SWORN TO BEFORE ME

THIS _____ DAY OF _____, _____.

(Notary Public)

My Commission Expires _____

This document can be made available in alternate formats upon request to qualifying individuals with disabilities.

Notice: Completion of this form is mandatory. Failure to comply is a violation of Chapter 217, Wisconsin Statutes. Personal information you provide may be used for secondary purposes.