

**STATE OF WISCONSIN**  
**Department of Financial Institutions**



Division of Banking  
PO Box 7876  
Madison, WI 53707-7876  
Telephone: (608) 261-7578  
Fax: (608) 267-6889

[www.wdfi.org](http://www.wdfi.org)

**EQUITY LOANS AND INVESTMENTS**  
**PERCENTAGE APPLICATION**

<b>APPLICANT INFORMATION</b>			
Name of Bank:			Date of Application:
Street Address <i>(include mailing address if different)</i> :			Telephone Number:
City:	State:	Zip:	Fax Number:

Name/Title/Affiliation of Person Responsible For Responding To Questions Relating to The Application:			
Mailing Address:			Telephone Number:
City:	State:	Zip:	Fax Number:

**GENERAL INFORMATION**

**APPLICATION FORM:** Print or type the requested information in the spaces provided. An original and one copy of the completed application are to be forwarded to the Division at the above address. An approved copy will be returned for the Applicant's file. Schedules or inserts may be attached to this application whenever the space provided is insufficient. Attached schedules or inserts are a part of the application. **If applicable, a copy of the federal regulatory application should also be provided to the Division.**

**QUESTIONS:** Questions regarding the application can be directed to 608-266-0446.

**FEE:** None

**PUBLIC INFORMATION:** This application is a public record and is available to the public upon request. Personally identifiable information may be shared with other State or Government Agencies.

**CONFIDENTIAL INFORMATION:** Information derived from an examination by any bank regulatory agency and personally identifiable information, such as found on financial statement and/or biographical information forms, is considered CONFIDENTIAL. The Division has determined that the percentages approved in this application are CONFIDENTIAL since examination data is relied on to evaluate the factors that must be considered in determining the percentages.

**AMERICANS WITH DISABILITIES ACT:** This document can be made available in alternate formats upon request to qualifying individuals with disabilities.

**PUBLICATION REQUIREMENT:** None

<b>EQUITY LOANS</b>
<p>The bank makes application to the Division of Banking to establish a percentage of the bank's capital that the bank may lend pursuant to Section 221.0321(1), Wis. Stats. The following percentage is applied for:</p> <p style="text-align: center;">_____ Percent <i>(Note, the percentage indicated may not exceed 20 percent)</i></p> <p>Are there plans to make equity loans through a subsidiary of the bank? <i>(If yes, a separate letter application must be filed to establish and operate a subsidiary.)</i> <input type="checkbox"/> Yes <input type="checkbox"/> No</p>

<b>EQUITY INVESTMENTS</b>
<p>The bank makes application to the Division of Banking to establish a percentage of the bank's capital that the bank may invest pursuant to Section 221.0321(2), Wis. Stats. The following percentage is applied for:</p> <p style="text-align: center;">_____ Percent <i>(Note, the percentage indicated may not exceed 20 percent)</i></p> <p>Are there plans to make equity investments through a subsidiary of the bank? <i>(If yes, a separate letter application must be filed to establish and operate a subsidiary.)</i> <input type="checkbox"/> Yes <input type="checkbox"/> No</p>

<b>APPLICANT ACTION</b>
<p><b>BOARD RESOLUTION:</b> <i>(Attach copy of actual resolution or complete resolution in format provided below.)</i></p> <p>The Board of Directors of the Bank, at a meeting held on _____, adopted the following <i>(Date)</i></p> <p>Resolution:</p> <p>Be it resolved that _____ of this Bank is hereby authorized <i>(Name and Title of Designated Officer)</i></p> <p>and directed to make Application on behalf of this Bank to the Division of Banking to establish a percentage of the Bank's capital that the Bank may lend pursuant to Section 221.0321(1), Wis. Stats., and invest pursuant to Section 221.0321(2), Wis. Stats.</p> <p>The percentages applied for are _____ percent for equity loans and _____ percent for equity investments.</p> <p>The above Resolution has not been rescinded or modified and has been duly entered in the minute book of the Applicant Bank. Application is hereby made.</p> <p>_____ Signature of Designated Officer/Title</p> <p>_____ Date</p>

**DIVISION ACTION**

CONFIDENTIAL

The Division of Banking must rely upon examination data, which is confidential pursuant to Section 220.06(1m), Wis. Stats., to evaluate the factors that must be considered to establish the applicable percentages for the Bank pursuant to Section 221.0321(3), Wis. Stats. The Division has determined that the percentages approved in this application are CONFIDENTIAL. The percentages established are to be kept CONFIDENTIAL and may not be disclosed by the Bank's directors, officers or employees.

The following percentages are established for the Bank:

Equity Loans \_\_\_\_\_ percent

Equity Investments \_\_\_\_\_ percent

\_\_\_\_\_  
Administrator  
Division of Banking

\_\_\_\_\_  
Date