State of Wisconsin

Department of Financial Institutions

State-Issued Certificate of Franchise Authority (SICFA) Application

INSTRUCTIONS FOR THE INITIAL APPLICATION OR AMENDMENT OF A STATE-ISSUED CERTIFICATE OF FRANCHISE AUTHORITY (SICFA)

Pursuant to Ch. 66.0420 Wis. Stat., any entity or person seeking to provide cable or video service in this state after January 9, 2008 shall file an application for a State-Issued Certificate of Franchise Authority (SICFA) with the State of Wisconsin, Department of Financial Institutions (DFI).

Proper filing of an application shall consist of filing an original and two exact copies of an application with a notarized affidavit. The submitted copies shall meet the following requirements:

- Application materials must contain a title page, completed forms 3000, 3000A, 3001 and the initial fee.
- The submitted copies must be three-hole punched and bound in a loose-leaf binder.
- The Applicant's name should appear on the spine of the notebook. The Applicant's name and a page number shall appear on each page of the application.
- Responses to all questions must be provided and must be amended/corrected promptly when changes occur. Amendments/corrections to the subject application shall be filed with DFI (one original and two exact copies).
- Application materials must contain completed forms 3000, 3000A, 3001 and the initial fee.
- To terminate a SICFA you must provide written notice to the Department by completing and filing a Video Franchise Withdrawal form 3024.

The filing fees are:

- Original Application - Fewer than 10,000 subscribers - $2,000.00
- Original Application - 10,000 or more subscribers - $5,000.00
- Amended Application - $100.00
- Withdrawal - $100.00

All applications and notices shall be submitted to:

Division of Corporate &Consumer Services
Department of Financial Institutions
Attention: Video Franchise Section
P. O. Box 7846
Madison WI 53707-7846
(608) 261-7577

DFI/SICFA/3000I (R11/12)